


Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:- BSE-513142, CSE-10019059
3	Share Holding Pattern Filled under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending : 30TH June,2017
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes*	
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

S. Ashwini Bhatnagar


BALASORE ALLOYS LIMITED

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities		No. of Shares Underlying convertible securities (including Warrants)	Shareholding, assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Total as a % of securities (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)As a % of (A+B+C2)	(ix)		(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)		
(A)	Promoter & Promoter Group	20	54535816	0	0	54535816	61.3517	0	54535816	61.3517	18000000	33.0058	18841790	34.5493	54531156	
(B)	Public	126923	34354595	0	0	34354595	38.6483	0	34354595	38.6483	0	0.0000	0	0.0000	24396262	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total	126943	88890411	0	0	88890411	100.00	0	88890411	100.0000	18000000	20.2496	18841790	21.1966	78927418	

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BALASORE ALLOYS LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid-up shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying Outstanding securities (including Warrants)	Shareholding - As a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								Class X	Class Y	Total			Total as a % of total voting Rights	No. (a)	As a % of total Shares held(b)	No. (b)		As a % of total Shares held(c)
(a)	(b)	(iii)	(iv)	(v)	(vi)	(vii) = (iv) + (v) + (vi)	(viii) = (vii) / (A+B+C2)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	
1 Indian Individuals / Hindu Undivided Family																		
PRAMOD KUMAR MITTAL	AELPM0092F	1	5710	0	0	5710	0.0064	0	0	0	0	0	0	0	0	0	0	3380
VINOD KUMAR MITTAL	AELPM0092F	1	2320	0	0	2320	0.0026	0	0	0	0	0	0	0	0	0	0	0
SANTOSH KUMAR RATERIA	ADAPR2677K	1	205560	0	0	205560	0.2256	0	0	0	0	0	0	0	0	0	0	200560
SAROJ RATERIA	ADPRR020L	1	329300	0	0	329300	0.3705	0	0	0	0	0	0	0	0	0	0	329300
ARCHANA MITTAL	AEDPM2148H	1	420	0	0	420	0.0004	0	0	0	0	0	0	0	0	0	0	420
Central Government / State Government(s)																		
Financial Institutions / Banks																		
Any Other (Specify)																		
Bodies Corporate																		
GOLDLINE TRACOM PVT LTD	AAACG8988R	1	12402346	0	0	12402346	13.9523	0	0	0	0	0	0	0	0	0	0	0
NAVODYAN HIGHRISE PRIVATE LIMITED	AAADC3130R	1	7346635	0	0	7346635	8.2649	0	0	0	0	0	0	0	0	0	0	0
NAVODYAN NIKETAN PRIVATE LIMITED	AAACCL033D	1	4953215	0	0	4953215	5.5724	0	0	0	0	0	0	0	0	0	0	0
NAVODYAN CONSULTANTS LIMITED	AAABCM9988R	1	3300000	0	0	3300000	3.7124	0	0	0	0	0	0	0	0	0	0	0
DANKUNI INVESTMENTS LTD	AAABCD1093J	1	3300000	0	0	3300000	3.7124	0	0	0	0	0	0	0	0	0	0	0
NAVADSHA REAL ESTATE PRIVATE LIMITED	AAABCK1376P	1	1801880	0	0	1801880	2.0271	0	0	0	0	0	0	0	0	0	0	0
NAVODYAN EXIM PRIVATE LIMITED	AAACIS249R	1	500000	0	0	500000	0.5625	0	0	0	0	0	0	0	0	0	0	0
JALTARANG VANIYA PRIVATE LIMITED	AAACB351Z	1	8000000	0	0	8000000	8.9988	0	0	0	0	0	0	0	0	0	0	0
TRUST		13	42142396	0	0	42142396	47.4093	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A1)																		
2 Foreign																		
Individuals (Non-Resident Individuals / Foreign Individuals)																		
Government																		
Institutions																		
Foreign Portfolio Investor																		
INDETHAL HOLDING LIMITED	AAACB6465D	1	1304100	0	0	1304100	1.4671	0	0	0	0	0	0	0	0	0	0	0
SPAT STEEL HOLDINGS LTD	AAACB6467K	1	689400	0	0	689400	0.7736	0	0	0	0	0	0	0	0	0	0	0
SECUREX HOLDING LIMITED	AAACB5999R	1	365900	0	0	365900	0.4116	0	0	0	0	0	0	0	0	0	0	0
GLOBAL STEEL HOLDING LIMITED	AAACG7800K	1	34020	0	0	34020	0.0383	0	0	0	0	0	0	0	0	0	0	0
PRILSAN GLOBAL VENTURES SINGAPORE PTE. LTD	AAAC76465E	1	4200000	0	0	4200000	4.7248	0	0	0	0	0	0	0	0	0	0	0
DIRECT TRADING & INVESTMENTS SINGAPORE PTE. LTD.	AAACD2511F	1	2900000	0	0	2900000	3.2625	0	0	0	0	0	0	0	0	0	0	0
GLOBAL STEEL HOLDINGS ASIA PTE. LTD	AAAGC6426K	1	2900000	0	0	2900000	3.2625	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A2)																		
Any Other (Specify)																		
Sub Total (B)																		
Total Shareholding of Promoter and Promoter Group (A+B)																		
Any Other (Specify)																		
Sub Total (C)																		
Total Shareholding of Promoter and Promoter Group (A+B+C)																		

Note:
(1) PAN would not be displayed on website of Stock Exchange(s)
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 2B(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
(3) Pursuant to the order dated 20 May 2021, the Directorate of Enforcement freeze(s) 8207285 Equity Shares held by Promoter and Promoter Group of the Company.

Details of Shares, which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up shares held	Partly paid up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	As a % of total Shares held (N.A. (b))	Number of equity shares held in dematerialised form
								Class X	Class Y	Total			(a)	(b)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (IV)+(V)+(VI)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)	
1 Institutions																	
(a) Mutual Fund		4	13600	0	0	13600	0.0153	13600	0	0.0153	0	0.0000	0	0.0000	0	0.0000	100
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Foreign Portfolio Investor																	
INDIA FOCUS CARDINAL FUND	AABC19518D	1	1697013	0	0	1697013	1.9091	1697013	0	1697013	1.9091	0	0.0000	0	0.0000	0	1697013
Financial Institutions / Banks		28	600573	0	0	600573	0.6756	600573	0	600573	0.6756	0	0.0000	0	0.0000	0	12651
Insurance Companies																	
Provident Funds/ Pension Funds																	
Any Other (Specify)																	
Sub Total (B)(1)		33	2311186	0	0	2311186	2.6002	2311186	0	2311186	2.6002	0	0.0000	0	0.0000	0	1709764
Central Government/ State Government(s)/ President of Government(s) / State																	
2 India																	
Central Government / State Government(s)																	
Sub Total (B)(2)																	
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		124705	20752087	0	0	20752087	23.3457	20752087	0	20752087	23.3457	0	0.0000	0	0.0000	0	12048926
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																	
SUBRAMANIAN P	AMBPS8931K	1	1478441	0	0	1478441	1.6633	1478441	0	1478441	1.6633	0	0.0000	0	0.0000	0	1478441
OTHERS		47	3830237	0	0	3830237	4.3089	3830237	0	3830237	4.3089	0	0.0000	0	0.0000	0	3830237
(c) NREFCs registered with RBI																	
(d) Employee Trusts																	
Overseas Depositories (holding DRs) (balancing figure)																	
(e) Any Other (Specify) (TRUST)		4	1024	0	0	1024	0.0011	1024	0	1024	0.0011	0	0.0000	0	0.0000	0	1024
Non Resident Indians		1308	644358	0	0	644358	0.7249	644358	0	644358	0.7249	0	0.0000	0	0.0000	0	375535
Foreign Companies		4	326350	0	0	326350	0.3671	326350	0	326350	0.3671	0	0.0000	0	0.0000	0	4952335
Bodies Corporate		821	5010912	0	0	5010912	5.6371	5010912	0	5010912	5.6371	0	0.0000	0	0.0000	0	22686498
Sub Total (B)(3)		126890	32043409	0	0	32043409	36.0481	32043409	0	32043409	36.0590	0	0.0000	0	0.0000	0	24396262
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		126923	34354595	0	0	34354595	38.6483	34354595	0	34354595	38.6483	0	0.0000	0	0.0000	0	24396262

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons/institutions/Non Institutions holding more than 1% of total number of shares and the balance to be disclosed as held by custodian.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of sharehold- ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Sharehold- ing % as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialise d form N.A.
								No of Voting Rights	Class X	Class Y			No.	As a % of total Shares held		
1 Custodian/DR Holder	(i)	(ii)	(iii)	(iv)	(v)	(vi) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)			
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0

Grand Total (A+B+C)	176943	88890411	0	0	88890411	100.0000	88890411	100.0000	0	100.0000	18000000	20.2495	18841790	21.1966	78927418
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Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Sudhansu

